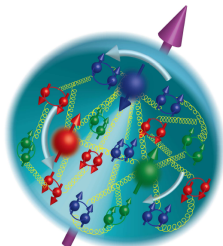


Introduction to spin physics, part II



Barbara Badełek
University of Warsaw

EIC PL Seminar
Warsaw, 25 I 2021

I thank the following colleagues:

M. Anselmino (DIS2019, Bad Honnef, 2017)
A. Bacchetta (Baryon2013, DIS2017, DIS2019)
F. Bradamante (IWHSS2019)
M. Contalbrigo (IWHSS2020)
J. Rojo (DIS2019)

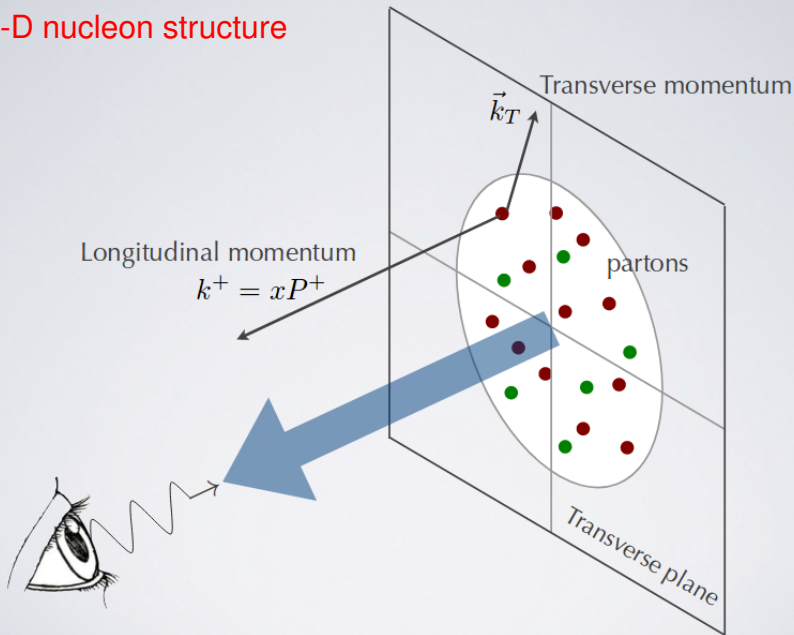
and others...

whose slides I used or followed.

There are more dimensions to explore, e.g. 3-D!

chiefly due to failures of the 1-D picture

3-D nucleon structure



Partonic structure of the nucleon; distribution functions

- In LT and considering k_T , 8 PDF describe the nucleon
 \Rightarrow **T**ransverse **M**omentum **D**ependent PDF

- QCD-TMD approach valid $k_T \ll \sqrt{Q^2}$

- After integrating over k_T only 3 survive: f_1, g_1, h_1

- TMD accessed in SIDIS and DY by measuring azimuthal asymmetries with different angular modulations

- SIDIS: e.g. $A_{\text{Sivers}} \propto \text{PDF} \otimes \text{FF}$

- DY: e.g. $A_{\text{Sivers}} \propto \text{PDF}^{\text{beam}} \otimes \text{PDF}^{\text{target}}$

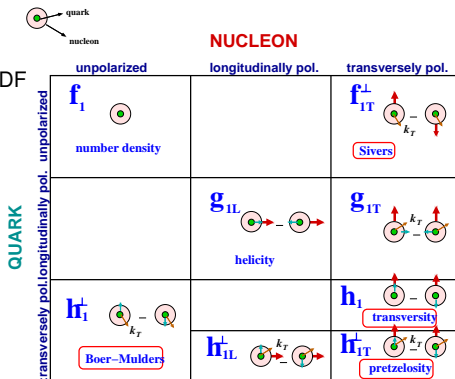
- OBS! Boer-Mulders and Sivers PDF are T-odd, i.e. process dependent**

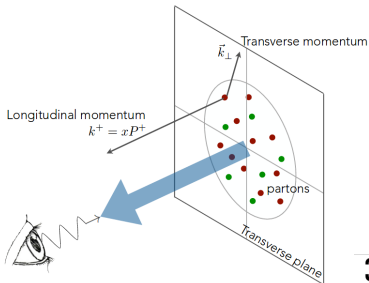
$$h_1^\perp(\text{SIDIS}) = -h_1^\perp(\text{DY})$$

$$f_{1T}^\perp(\text{SIDIS}) = -f_{1T}^\perp(\text{DY})$$

- OBS! transversity PDF is chiral-odd**; may only be measured with another chiral-odd partner, e.g. fragmentation function.

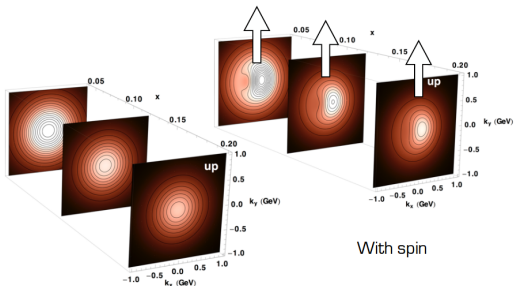
- TMD parton distributions need TMD Fragmentation Functions!**





What does Sivers effect do?

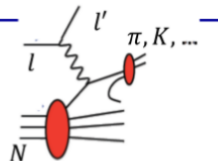
3D maps of partonic distribution



A. Bacchetta, DIS2017

Semi-Inclusive Deep Inelastic Scattering

$$\begin{aligned}
 \frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} = & \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x} \right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} \right. \\
 & + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} \\
 & + S_{\parallel} \left[\sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] + S_{\parallel} \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right] \\
 & + |S_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right. \\
 & + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \\
 & + \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1-\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \Big] \\
 & + |S_{\perp}| \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} \right. \\
 & \left. \left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \right\},
 \end{aligned}$$



Semi-Inclusive Deep Inelastic Scattering

$$\begin{aligned}
 \frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} = & \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} \right. \\
 & \left. + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} \right. \\
 & \left. + S_{\parallel} \left[\sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] + S_{\parallel} \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right] \right\} \\
 & + |S_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right. \\
 & \left. + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \right. \\
 & \left. + \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1-\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right] \\
 & + |S_{\perp}| \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} \right. \\
 & \left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \left. \right\},
 \end{aligned}$$

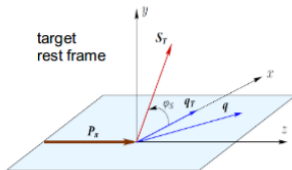
Diagram illustrating the scattering process: an incoming lepton l and an outgoing lepton l' interact with a nucleon N via a virtual photon, producing a pion π, K, \dots and a hadron h .

14 independent azimuthal modulations

amplitudes of the modulations
→ TMD PDFs

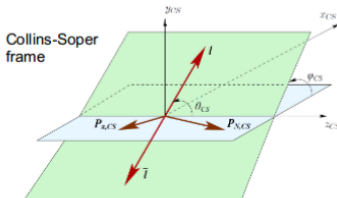
Drell-Yan cross-section

general expression

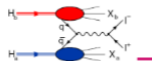


$$\frac{d\sigma}{dq^4 d\Omega} \propto \hat{\sigma}_U \left\{ 1 + \cos^2 \theta_{CS} A_U^1 + \sin 2\theta_{CS} A_U^{\cos \varphi_{CS}} \cos \varphi_{CS} + \sin^2 \theta_{CS} A_U^{\cos 2\varphi_{CS}} \cos 2\varphi_{CS} \right. \\ \left. + S_T \left[\left(A_T^{\sin \varphi_S} + \cos^2 \theta_{CS} \tilde{A}_T^{\sin \varphi_S} \right) \sin \varphi_S \right. \right. \\ \left. + \sin 2\theta_{CS} \left(A_T^{\sin(\varphi_{CS} + \varphi_S)} \sin(\varphi_{CS} + \varphi_S) + A_T^{\sin(\varphi_{CS} - \varphi_S)} \sin(\varphi_{CS} - \varphi_S) \right) \right. \\ \left. \left. + \sin^2 \theta_{CS} \left(A_T^{\sin(2\varphi_{CS} + \varphi_S)} \sin(2\varphi_{CS} + \varphi_S) + A_T^{\sin(2\varphi_{CS} - \varphi_S)} \sin(2\varphi_{CS} - \varphi_S) \right) \right] + \dots \right\}$$

$$\lambda = A_U^1, \mu = A_U^{\cos \varphi_{CS}}, \nu = 2 A_U^{\cos 2\varphi_{CS}}$$



IWHSS19, Aveiro, 24 June 2019



Drell-Yan cross-section

general expression $\pi^- p \rightarrow l^+ l^- X$

Boer-Mulders
of the π

Boer-Mulders
of the p

$$h_1^\perp \otimes h_1^\perp$$



$$\frac{d\sigma}{dq^4 d\Omega} \propto \hat{\sigma}_U \left\{ 1 + \cos^2 \theta_{CS} A_U^1 + \sin 2\theta_{CS} A_U^{\cos \varphi_{CS}} \cos \varphi_{CS} + \sin^2 \theta_{CS} A_U^{\cos 2\varphi_{CS}} \cos 2\varphi_{CS} \right. \\ \left. + S_T \left[\left(A_T^{\sin \varphi_S} + \cos^2 \theta_{CS} \tilde{A}_T^{\sin \varphi_S} \right) \sin \varphi_S \right. \right. \\ \left. + \sin 2\theta_{CS} \left(A_T^{\sin(\varphi_{CS} + \varphi_S)} \sin(\varphi_{CS} + \varphi_S) + A_T^{\sin(\varphi_{CS} - \varphi_S)} \sin(\varphi_{CS} - \varphi_S) \right) \right. \\ \left. \left. + \sin^2 \theta_{CS} \left(A_T^{\sin(2\varphi_{CS} + \varphi_S)} \sin(2\varphi_{CS} + \varphi_S) + A_T^{\sin(2\varphi_{CS} - \varphi_S)} \sin(2\varphi_{CS} - \varphi_S) \right) \right] + \dots \right\}$$

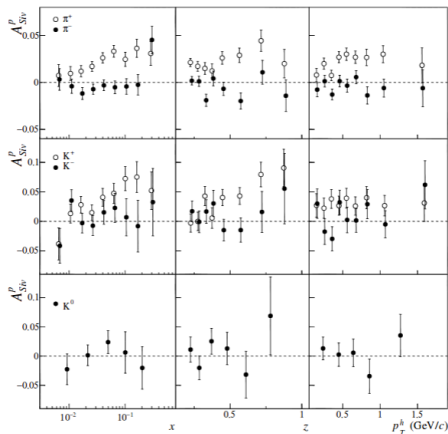
$f_1 \otimes f_{1T}^\perp$
of the π Sivers
of the p

$$h_1^\perp \otimes h_{1T}^\perp$$

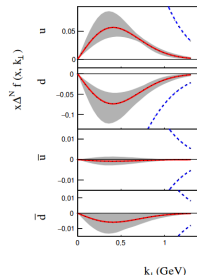
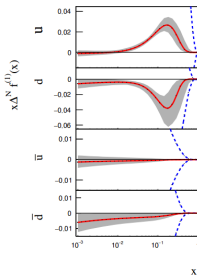
Boer-Mulders
of the π pretzelosity
of the p

$h_1^\perp \otimes h_1$
Boer-Mulders
of the π transversity
of the p

Results for the Sivers asymmetry for protons (SIDIS)



COMPASS, Phys.Lett. B744 (2015) 250

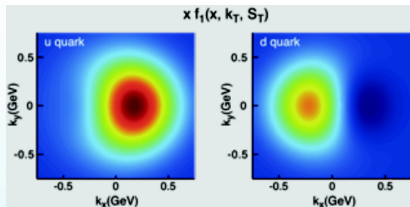
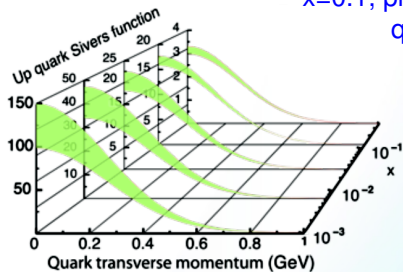


M.Anselmino et al.,JHEP 1704(2017)046

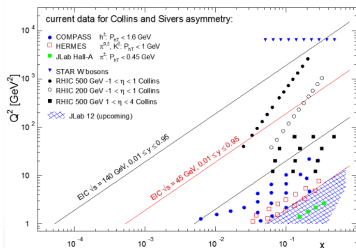
- Sivers asymmetries for proton measured for +/– identified hadrons are large for π^+ , K^+ ...
- ...and even larger at smaller Q^2 (HERMES)
- COMPASS deuteron data show very small asymmetry

Sivers function at EIC

$x=0.1$, proton \perp polarised along y , moving along z
quark “flow” in a nucleon



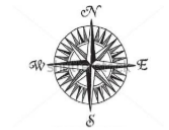
From “White paper”, arXiv:1212.1701



← EIC acceptance for Sivers meas.

O. Eyser, SPIN2016

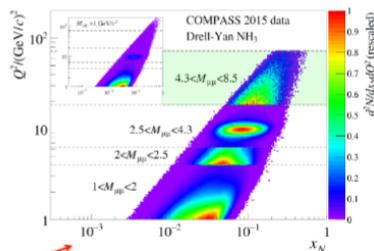
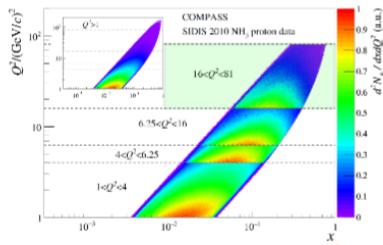
The COMPASS bridge



Courtesy of B. Parsamyan, COMPASS



$$\frac{d\sigma^{LO}}{dx dy dz dp_T^2 d\varphi_h d\psi} \propto \left\{ \begin{aligned} &1 + \cos(2\phi_h) \varepsilon A_{UU}^{\cos(2\phi_h)} \\ &+ S_T \begin{bmatrix} \sin(\phi_h - \phi_S) A_{UT}^{\sin(\phi_h - \phi_S)} \\ \sin(\phi_h + \phi_S) \varepsilon A_{UT}^{\sin(\phi_h + \phi_S)} \\ \sin(3\phi_h - \phi_S) \varepsilon A_{UT}^{\sin(3\phi_h - \phi_S)} \end{bmatrix} \end{aligned} \right\} \frac{d\sigma^{LO}}{d^2\Omega d^4q} \propto \left\{ \begin{aligned} &1 + D_{[\sin^2 \theta]} \cos(2\varphi_{CS}) A_U^{\cos 2\varphi_{CS}} \\ &+ S_T \begin{bmatrix} \sin \varphi_S A_T^{\sin \varphi_S} \\ + D_{[\sin^2 \theta]} \begin{pmatrix} \sin(2\varphi_{CS} + \varphi_S) A_T^{\sin(2\varphi_{CS} + \varphi_S)} \\ \sin(2\varphi_{CS} - \varphi_S) A_T^{\sin(2\varphi_{CS} - \varphi_S)} \end{pmatrix} \end{bmatrix} \end{aligned} \right\}$$



comparable $x:Q^2$ kinematic coverage

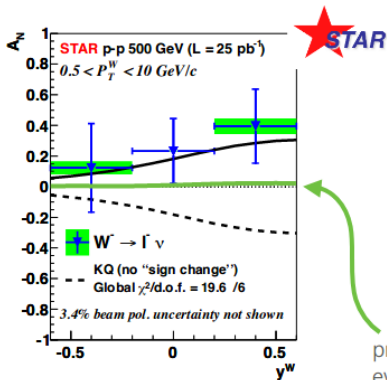
minimization of possible Q^2 evolution effects

Unique experimental environment to test TMD universality
and Sivers and Boer-Mulders sign change

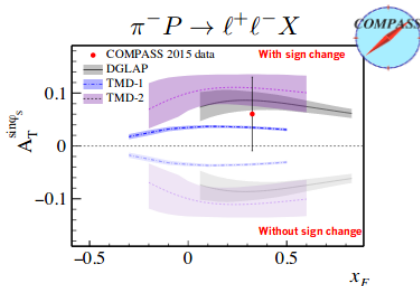
SIVERS FUNCTION SIGN CHANGE

Sivers function SIDIS = – Sivers function Drell-Yan

Collins, PLB 536 (02)



STAR Collab. arXiv:1511.06003

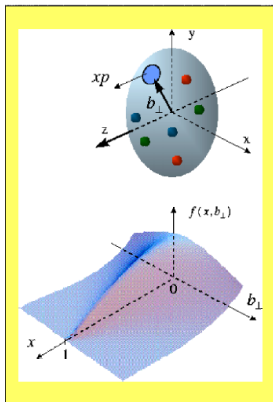


COMPASS PRL 119 (2017) 112002

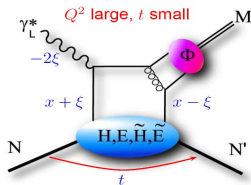
A. Bacchetta, DIS2019

3-D proton

(in a different way \Rightarrow GPD)



Generalised Parton Distributions (GPD)



- Accessible via DVCS/DVMP: $\mu p \rightarrow \mu p \gamma(M)$
- 4 GPDs ($H, E, \tilde{H}, \tilde{E}$) for each flavour and for gluons plus 4 chiral odd ones ($H_T, E_T, \tilde{H}_T, \tilde{E}_T$)
- All depend on 4 variables: x, ξ, t, Q^2 ; DIS @ $\xi = t = 0$;
Careful ! Here $x \neq x_B$!
- H, \tilde{H} conserve nucleon helicity
 E, \tilde{E} flip nucleon helicity
- H, E refer to unpolarised distributions
 \tilde{H}, \tilde{E} refer to polarised distributions
- $H^q(x, 0, 0) = q(x)$, $\tilde{H}^q(x, 0, 0) = \Delta q(x)$

Important:

$$J_z^q = \frac{1}{2} \int dx \, x [H^q(x, \xi, t=0) + E^q(x, \xi, t=0)] = \frac{1}{2} \Delta \Sigma + L_z^q \quad (\text{X. Ji})$$

3D Imaging

$$f(x, k_T) \quad 1+2D$$

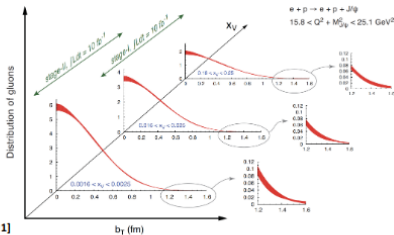
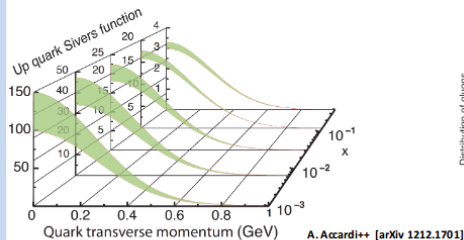
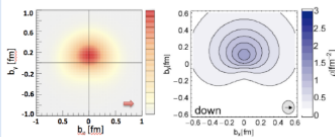
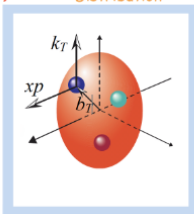
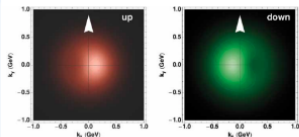
$$\int d^2 b_T \quad W(x, b_T, k_T) \quad \int d^2 k_T$$

Wigner
Distribution

$$f(x, b_T) \quad 1+2D$$

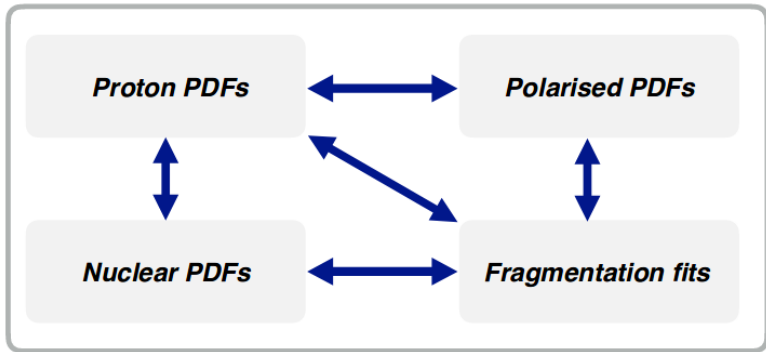
Transverse Momentum Distribution (TMD)

Impact Parameter Distribution



Universal QCD fits

Pushing the **precision frontier** of **QCD fits** requires accounting for **cross-talk** between different **non-perturbative QCD** quantities



Towards **universal/integrated global analyses** of non-perturbative QCD

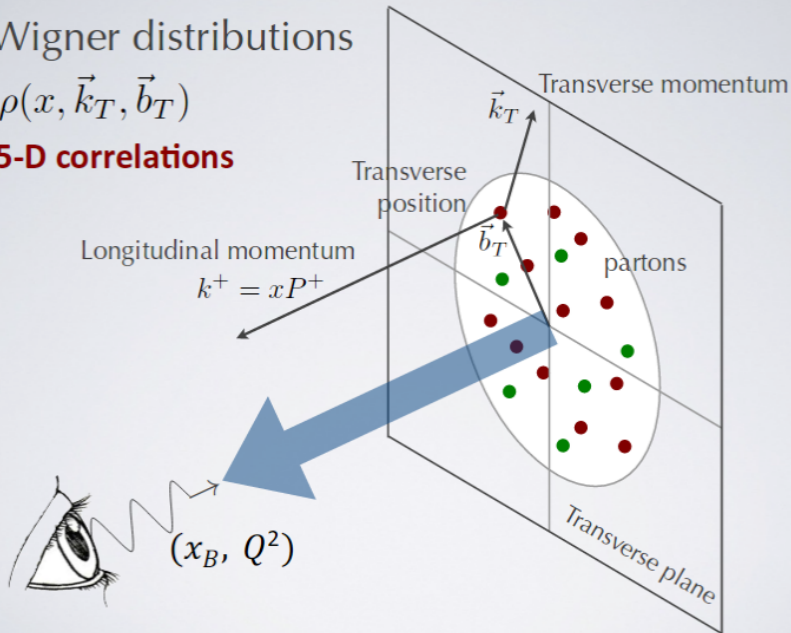
...Proton even 5-D!

(ultimate goal)

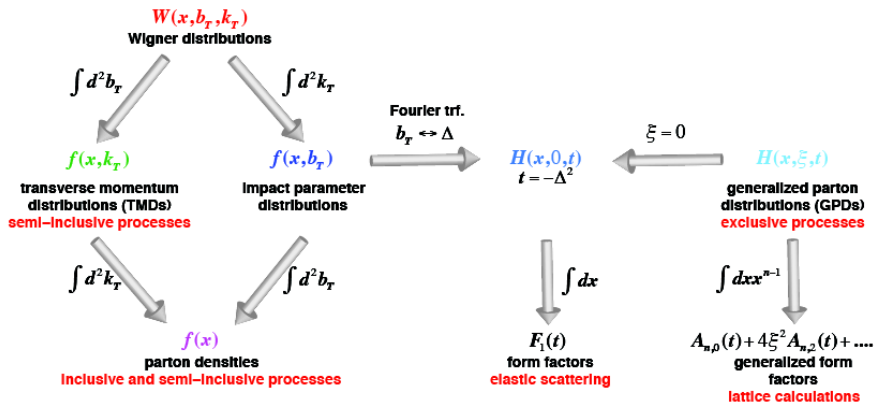
Wigner distributions

$$\rho(x, \vec{k}_T, \vec{b}_T)$$

5-D correlations



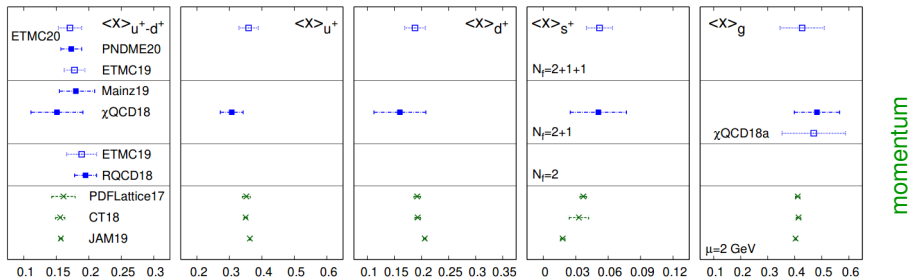
Descriptions of pdf^s in the nucleon



From "White paper", arXiv:1212.1701

Any help from Lattice QCD ?

Lattice QCD developements (hep-ph 2006.08636v2)



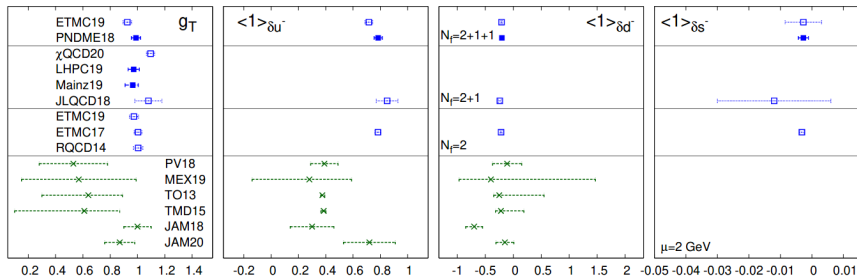
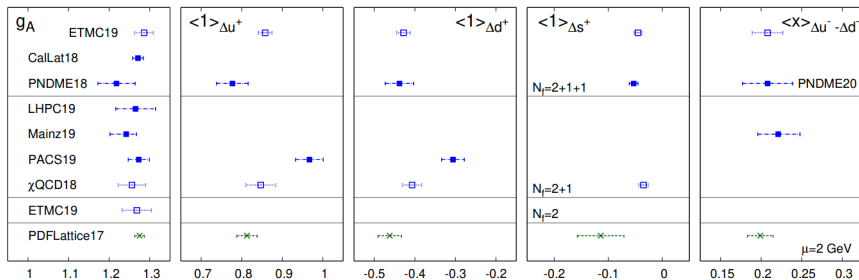
$$q^\pm \equiv q \pm \bar{q} \quad \Delta q^\pm \equiv \Delta q \pm \Delta \bar{q}$$

n -th moments (momentum, helicity):

$$\langle x^n \rangle_{u+-d+}(Q^2) = \int_0^1 dx x^n \{u(x, Q^2) + \bar{u}(x, Q^2) - d(x, Q^2) - \bar{d}(x, Q^2)\}$$

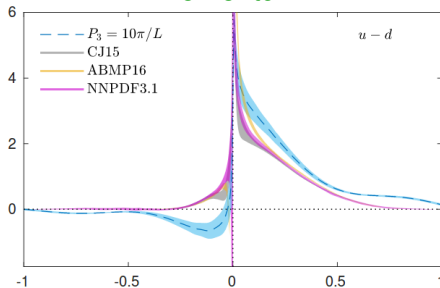
$$\langle x^n \rangle_{\Delta u+-\Delta d+}(Q^2) = \int_0^1 dx x^n \{\Delta u(x, Q^2) + \Delta \bar{u}(x, Q^2) - \Delta d(x, Q^2) - \Delta \bar{d}(x, Q^2)\}$$

Lattice QCD developments (hep-ph 2006.08636v2)

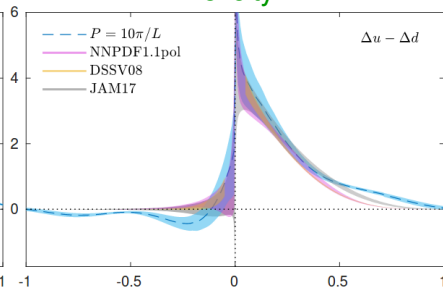


Lattice developments...cont'd (hep-lat 1902.00587v1)

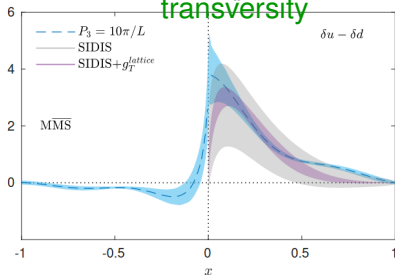
momentum



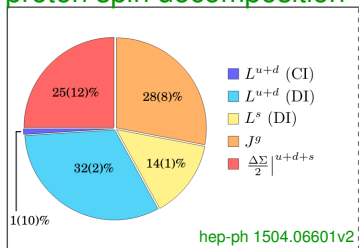
helicity



transversity



proton spin decomposition



Take-away menu

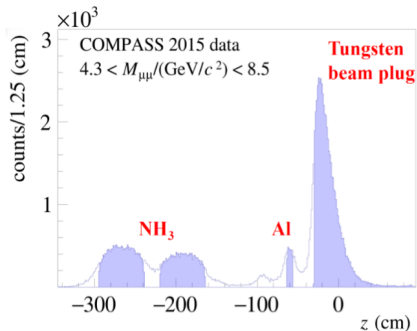
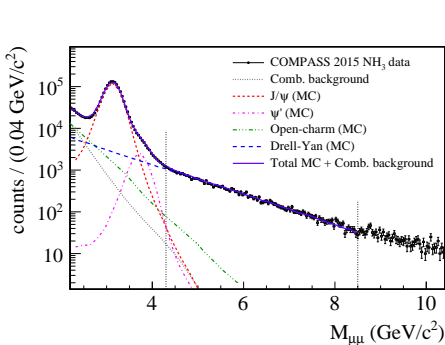
Proton structure very rich and developing quickly!

- 1-D proton momentum structure accurate and well controlled.
Helicity and transversity (!) PDF need more effort.
- Experimental results suggest a necessity to go beyond the collinear parton picture of the nucleon.
- New promising concepts, which include spin (also as a tool):
 1. Transverse Momentum Dependent distributions, TMD
 2. Generalised Parton Distributions, GPD (not discussed).
- Data from: SIDIS, pp, Drell-Yan, e^+e^- (not discussed)
⇒ formulation of the 3-D imaging of the nucleon well advanced.
- Expected: new data from COMPASS, RHIC, LHCSpin, JLab at 12 GeV and the forthcoming Electron Ion Collider!
- Topical issue of EPJA dedicated to the 3-D nucleon structure: EPJ A52 (2016) no.6 (15 articles)!

SPARES

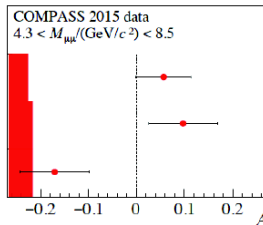
Drell-Yan process at COMPASS

● $\pi^- + p \rightarrow \mu^+ \mu^- + X$, beam: 190 GeV/c, target: \perp polarised proton (NH₃)



COMPASS spin-dependent asymmetries in DY-SIDIS

DY PRL 119 (2017) 112002



(Sivers)_p
 \otimes (f₁)_π

(Pretzelosity)_p
 \otimes (BM)_π

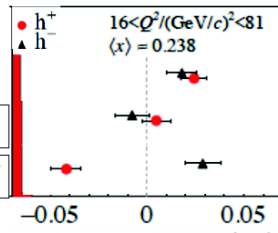
(Transversity)_p
 \otimes (BM)_π

Sivers \otimes
 D1

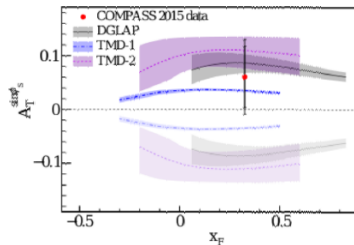
Pretzelosity
 \otimes Collins

Transversity
 \otimes Collins

SIDIS PLB 770 (2017) 138



In 2018
 statistics $\approx 1.5 \times 2015!$



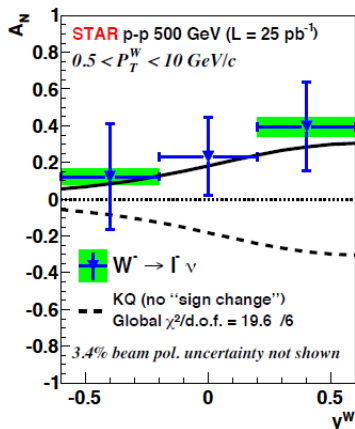
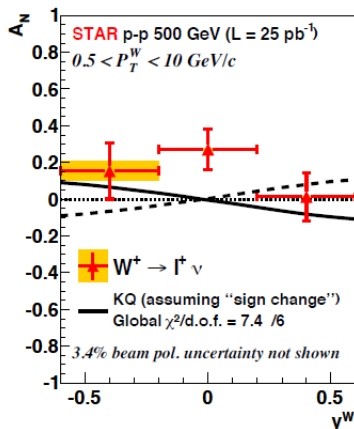
← sign change hypothesis

← NO sign change

PRL 119 (2017) 112002

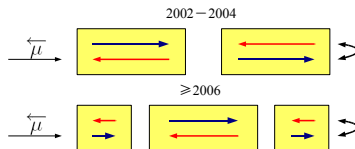
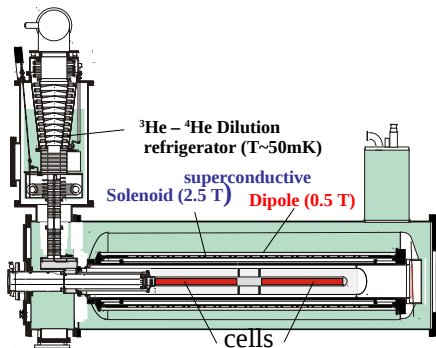
First results from RHIC, $p^\uparrow p \rightarrow W^\pm X$

STAR Collaboration, PRL 116 (2016) 132301

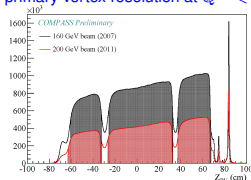


some hints at sign change of Sivers function....

COMPASS polarised targets: NH_3 and ${}^6\text{LiD}$

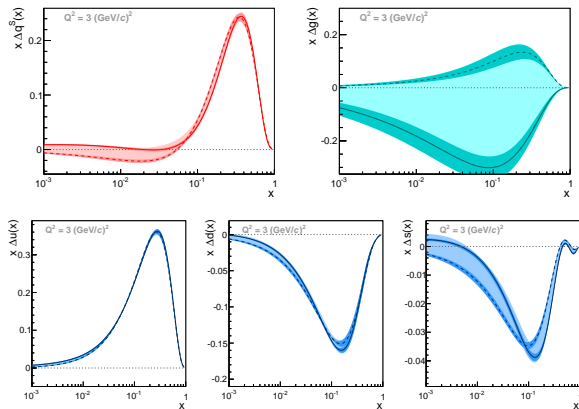


NH_3 , primary vertex resolution at $Q^2 < 1 \text{ (GeV}/c)^2$



- * Two (three) target cells, oppositely polarised
- * Polarisation reversed every 8 h (less frequent after 2005) by field rotation
- * Material: solid ${}^6\text{LiD}$ (NH_3)
- * Polarisation: $\sim 50\%$ ($\sim 90\%$), by the Dynamical Nuclear Polarisation
- * Dilution: $f \sim 0.4$ (~ 0.15)
- * Polar acceptance: $\sim 70 \text{ mrad}$ ($\sim 180 \text{ mrad}$ after 2005)

NLO QCD fit: results for g_1^p , g_1^d , $g_1^{3\text{He}}$ inclusive data, $W^2 > 10 \text{ (GeV}/c^2)^2$



PLB 753 (2016) 18

- Statistical uncertainties (dark bands) \ll systematic (light bands)
- **Gluon polarisation poorly constrained \Rightarrow “direct” methods**
- Quark spin contribution to the nucleon spin: $0.26 < \Delta\Sigma < 0.36$ (due to poor Δg)

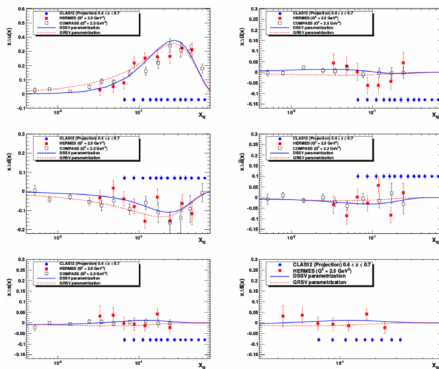
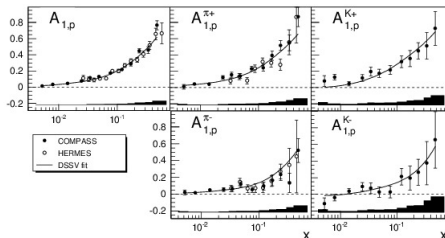
Semi-inclusive asymmetries and parton distributions

- COMPASS: measured on both proton and deuteron targets for identified, positive and negative pions and (for the first time) kaons

COMPASS, Phys. Lett. B **693** (2010) 227

DSSV, Phys. Rev. D **80** (2009) 034030

CLAS12, Update to E12-09-007

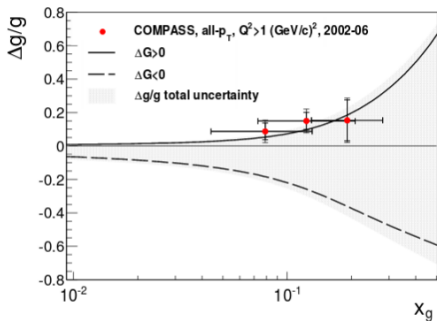
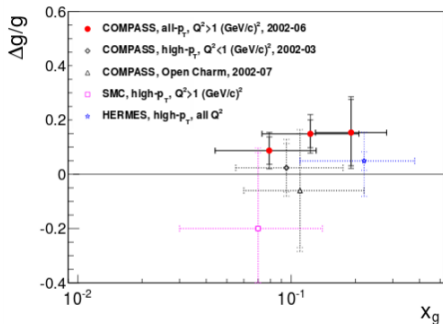
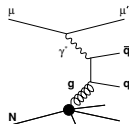


- COMPASS: LO DSS fragm. functions and LO unpolarised MRST assumed here.
- NLO parameterisation of DSSV describes the data well.

Direct measurements of $\Delta g(x)$

Direct measurements – *via* the cross section asymmetry for the photon–gluon fusion (PGF) with subsequent fragmentation into

$c\bar{c}$ (LO, NLO) or $q\bar{q}$ (high p_T hadron pair (LO)): $A_{\gamma N}^{\text{PGF}} \approx \langle a_{LL}^{\text{PGF}} \rangle \frac{\Delta g}{g}$



COMPASS from SIDIS on d for any $(p_T)_h$ and at LO:

$\Delta g/g = 0.113 \pm 0.038(\text{stat.}) \pm 0.036(\text{syst.})$ at $\langle Q^2 \rangle \approx 3 \text{ (GeV/c)}^2$, $\langle x_g \rangle \approx 0.10$
clearly positive gluon polarisation!

COMPASS, EPJC 77(2017) 209

Supersymmetry

- Expected symmetry; transforms

fermions \leftrightarrow bosons

- For fermions – Pauli principle; for bosons – no!
- Partners of all known particles expected:

particle	spin (\hbar)	sparticle	spin (\hbar)
q	1/2	squark, \tilde{q}	0
l	1/2	slepton, \tilde{l}	0
γ	1	photino, $\tilde{\gamma}$	1/2
g	1	gluino, \tilde{g}	1/2
W, Z	1	vino, \tilde{W} ; zino, \tilde{Z}	1/2
H	0	higgsino, \tilde{H}	1/2
G	2	gravitino, \tilde{G}	3/2

- Of course it is broken.
- Makes a framework for unification of all interactions.